

P21961.P06

10/030170

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shigeo TAKADA et al.

Serial No : 10/030,170  
(National Stage of PCT/IB00/01112)

I.A. Filed : 09 August 2000

For : THERAPEUTIC AGENT FOR DIABETES

**COVER LETTER**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In order to comply with the requirements (copy enclosed) for the submission of an executed declaration mailed April 8, 2002 which sets a two month period of response until June 10, 2002 (June 8, 2002 being a Saturday), Applicants hereby submit:

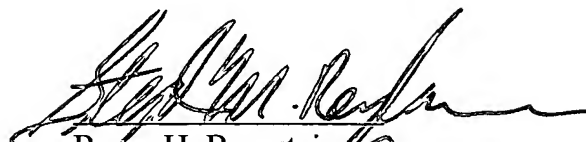
- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371

- an executed Declaration and Power of Attorney;  
- a check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, he is invited to contact the undersigned at the below listed number.

Respectfully submitted,  
Shigeo TAKADA et al.

  
Bruce H. Bernstein  
Reg. No. 29,027 *Reg no. 31,296*

April 22, 2002  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke  
Reston, VA 20191  
(703) 716-1191

Form PTO-1390

P21961.P04

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P21961

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/030,170

INTERNATIONAL APPLICATION NO.

PCT/IB00/01112

INTERNATIONAL FILING DATE

09 August 2000

PRIORITY DATE CLAIMED

09 August 1999

TITLE OF INVENTION

THERAPEUTIC AGENT FOR DIABETES

APPLICANT(S) FOR DO/EO/US

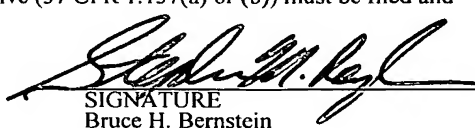
Shigeo TAKADA, Yasukazu NAGATO and Masahiro MURAKAMI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  
"EXECUTED"
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. Assignee: Amato Pharmaceutical Products, Ltd. of Kyoto, Japan
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Figure of Drawing to be published \_\_\_\_\_
18. ☒ Other items or information:  
Cover Letter  
Form PCT/DO/EO/905

|  |              |   |            |                                      |              |
|--|--------------|---|------------|--------------------------------------|--------------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br>10/030,170  |              | INTERNATIONAL APPLICATION NO.<br>PCT/IB00/01112 |            | ATTORNEY'S DOCKET NUMBER<br>P21961   |              |
| 19. <u>    </u> The following fees are submitted:<br><br>Basic National Fee (37 CFR 1.492(a)(1)-(5)):<br><br>Search report has been prepared by the EPO or JPO. . . . . \$ 890.00<br>International preliminary examination fee paid to USPTO (37 CFR 1.482). . . . . \$ 710.00<br>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but<br>international search fee paid to USPTO(37 CFR 1.445(a)(2)). . . . . \$ 740.00<br>Neither international preliminary examination fee (37 CFR 1.482) nor<br>international search fee (37 CFR 1.445(a)(2) paid to USPTO. . . . . \$1,040.00<br>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all<br>claims satisfied provisions of PCT Article 33(2)-(4). . . . . \$ 100.00<br><br><div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>  |              |   |            | CALCULATIONS                         | PTO USE ONLY |
|  |              |   |            |                                      |              |
|  |              |   |            |                                      |              |
|  |              |   |            | ENTER APPROPRIATE BASIC FEE AMOUNT = |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <u>    </u> 20 <u>  X  </u> 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |   |            | \$130.00                             |              |
| Claims   | Number Filed | Number Extra                                    | RATE       | \$ 0.00                              |              |
| Total Claims   | 21 - 21 =    | 0   | X \$18.00  | \$ 0.00                              |              |
| Independent Claims   | 2 - 3 =      | 0   | X \$84.00  | \$ 0.00                              |              |
| Multiple dependent claim(s) (if applicable)  |              |   | + \$280.00 | \$ 0.00                              |              |
| TOTAL OF ABOVE CALCULATIONS =  |              |   |            | \$130.00                             |              |
| <u>    </u> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced<br>by $\frac{1}{2}$ .  |              |   |            | \$ 0.00                              |              |
| SUBTOTAL =   |              |   |            | \$130.00                             |              |
| Processing fee of \$130.00 for furnishing the English translation later than <u>    </u> 20 <u>    </u> 30<br>months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |   |            | +                                    | 0.00         |
| Extension of Time fee in the amount of \$  |              |   |            |                                      | 0.00         |
| TOTAL NATIONAL FEE =   |              |   |            | \$130.00                             |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |   |            | +                                    | \$ 0.00      |
| TOTAL FEES ENCLOSED =  |              |   |            | \$130.00                             |              |
|  |              |   |            | Amount to be<br>refunded             | \$           |
|  |              |   |            | Charged                              | \$           |
| a. <u>  X  </u> A check in the amount of \$ <u>130.00</u> to cover the above fees is enclosed.<br>b. <u>    </u> Please charge my Deposit Account No. <u>        </u> in the amount of \$ <u>        </u> to cover the above fees.<br>c. <u>  X  </u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to<br>Deposit Account No. <u>19-0089</u> .<br><br>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and<br>granted to restore the application to pending status.<br><br>SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055<br>AT THE PRESENT ADDRESS OF:<br><br>GREENBLUM & BERNSTEIN, P.L.C.<br>1941 Roland Clarke Place<br>Reston, VA 20191<br>(703) 716-1191<br><br><div style="display: flex; justify-content: space-between;"> <span>04/24/2002 MNGUYEN 00000081 10030170</span> <div style="text-align: right;"> <br/>           SIGNATURE<br/>           Bruce H. Bernstein<br/>           NAME<br/>           29,027 <u>Reg No 31,096</u><br/>           REGISTRATION NUMBER         </div> </div> |              |   |            |                                      |              |